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**Complete if Known**

<b>Application Number</b>	<b>09/820,428</b>
<b>Filing Date</b>	<b>March 28, 2001</b>
<b>First Named Inventor</b>	<b>Leon, J.P.</b>
<b>Group Art Unit</b>	<b>2161</b>
<b>Examiner Name</b>	<b>Unassigned</b>
<b>Attorney Docket Number</b>	<b>006969-021220US</b>

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Sheet	1	of	3
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Attorney Docket Number	006969-021220US
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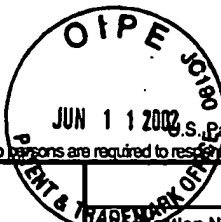
*[Signature]*

10-14-54

<sup>1</sup> Unique citation designation number. <sup>2</sup> See attached Kinds of U.S. Patent Documents. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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PTO/SB/08A (08-00)

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Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **2** of **3**

**Complete if Known**

Application Number	09/820,428
Filing Date	March 28, 2001
First Named Inventor	Leon, J.P.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-021220US

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## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>2</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
<i>[Signature]</i>	BD	EP	845 762	A2		06-03-1998		
<i>[Signature]</i>	BE	EP	825 565	A2		02-25-1998		
<i>[Signature]</i>	BF	WO	00/49580	A1		08-24-2000		
<i>[Signature]</i>	BG	WO	98/20461	A2		05-14-1998		
<i>[Signature]</i>	BH	WO	98/13790	A1		04-02-1998		
<i>[Signature]</i>	BI	GB	1 536 403			12-20-1978		

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Examiner Signature <i>[Signature]</i>	Date Considered <i>10-14-04</i>
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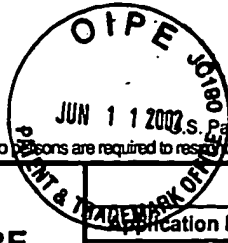
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Substitute for form 1449B/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet **3** of **3**

## **Complete If Known**

Application Number	09/820,428
Filing Date	March 28, 2001
First Named Inventor	Leon, J.P.
Group Art Unit	2161
Examiner Name	Unassigned
Attorney Docket Number	006969-021220US

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## **OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
<i>[Signature]</i>	BJ	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for Closed IBI Postage Metering Systems (PCIBI-C)" 12 January 1999 (1999-01-12), United States Postal Service, dated January 12, 1999.	
<i>[Signature]</i>	BK	"Information Based Indicia Program (IBIP) Indiciu Specification," United States Postal Service, dated June 13, 1996.	
<i>[Signature]</i>	BL	"Information Based Indicia Program Postal Security Device Specification, United States Postal Service, dated June 13, 1996	
<i>[Signature]</i>	BM	"Information Based Indicia Program Host System Specification [Draft]," United States Postal Service, dated October 9, 1996	
<i>[Signature]</i>	BN	"Information-Based Indicia Program (IBIP), Performance Criteria for Information-Based Indicia and Security Architecture for IBI Postage Metering Systems (PCIBISAIBIPMS)," United States Postal Service, dated August 19, 1998	
<i>[Signature]</i>	BO	BARKER-BENFIELD, "First Union Offers Online Transactions," <u>Florida Times-Union</u> , 1/28/94.	
<i>[Signature]</i>	BP	FIBS PUB 140-1, Federal Information Processing Standards Publication, (January 11, 1994) Security Requirements for Cryptographic Modules, U.S. Department of Commerce, Ronald H. Brown, Secretary, National Institute of Standards and Technology; pp:1-51.	

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U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1448A/PTO

**(use as many sheets as necessary)**

<b>Application Number</b>	09/820,428
<b>Filing Date</b>	March 28, 2001
<b>First Named Inventor</b>	Leon, J.P.
<b>Group Art Unit</b>	2161
<b>Examiner Name</b>	Unassigned
<b>Attorney Docket Number</b>	006969-021220US

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U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
<i>gwr</i>	AA	US-6,526,391	B1	Cordery	02-25-2003	
<i>gwr</i>	AB	US-6,505,179	B1	Kara	01-07-2003	
<i>gwr</i>	AC	US-6,385,731	B2	Ananda	05-07-2002	
<i>gwr</i>	AD	US-6,296,404	B1	Pierce et al.	10-02-2001	
<i>gwr</i>	AE	US-6,262,717	B1	Donohue et al.	07-17-2001	
<i>gwr</i>	AF	US-6,249,777	B1	Kara et al.	06-19-2001	
<i>gwr</i>	AG	US-6,208,980	B1	Kara	03-27-2001	
<i>gwr</i>	AH	US-6,141,684		McDonald et al.	10-31-2000	
<i>gwr</i>	AI	US-6,085,195		Hoyt et al.	07-04-2000	
<i>gwr</i>	AJ	US-5,970,150		Sansone	10-19-1999	
<i>gwr</i>	AK	US-5,909,373		Sansone et al.	06-01-1999	
<i>gwr</i>	AL	US-5,848,401		Goldberg et al.	12-08-1998	
<i>gwr</i>	AM	US-5,826,267		McMillan	10-20-1998	
<i>gwr</i>	AN	US-5,822,739		Kara	10-13-1998	
<i>gwr</i>	AO	US-5,737,729		Derman	04-07-1998	
<i>gwr</i>	AP	US-5,457,636		Sansone et al.	10-10-1995	
<i>gwr</i>	AQ	US-5,369,258		Sansone et al.	11-29-1994	

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Substitute for form 1449A/PTO		<b>Complete If Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	09/820,428
		Filing Date	March 28, 2001
		First Named Inventor	Leon, J.P.
		Group Art Unit	
		Examiner Name	
Sheet 1 of 2	Attorney Docket Number	006969-021220US	

U.S. PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code <sup>2</sup> (if known)			
<i>[Signature]</i>	1	5,319,562	✓ A2	Whitehouse	06/07/94	1, 10, 11, 20
<i>[Signature]</i>	2	5,822,735	✓ A1	De Lapa et al.	10/13/98	
<i>[Signature]</i>	3	5,832,464	✓ A2	Houvenier et al.	11/03/98	

FOREIGN PATENT DOCUMENTS								
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
<i>[Signature]</i>	4	PCT	WO 00/73963	✓ A2	Stamps.Com	12/07/00	1,2,4-6,8-12,14-16,18-20	
<i>[Signature]</i>	5	PCT	WO 99/39278	✓ A1	Ascom Hasler	08/05/99	1,10,11	
<i>[Signature]</i>	6	EP	0 927 945	✓ A2	Amazon.Com, Inc.	07/07/99	1,10,11,20	
<i>[Signature]</i>	7	EP	0 855 687	✓ A2	AT&T Corp.	07/29/98		

Examiner Signature	<i>[Signature]</i>	Date Considered	10-14-04
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Substitute for form 1449B/PTO <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (use as many sheets as necessary)		<b>Complete if Known</b>	
Application Number	09/820,428		
Filing Date	March 28, 2001		
First Named Inventor	Leon, J.P.		
Group Art Unit			
Examiner Name			
Attorney Docket Number	006969-021220US		
Sheet	2	of	2

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U.S. PATENT DOCUMENTS						
Examiner Initials *	Cite No. <sup>1</sup>	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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<i>[Signature]</i>	2	5,822,735		De Lapa et al.	10/13/98	
<i>[Signature]</i>	3	5,832,464		Houvenier et al.	11/03/98	

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		Office <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				
<i>[Signature]</i>	4	PCT	WO 00/73963	A2	Stamps.Com	12/07/00	1,2,4-6,8-12,14-16,18-20	
<i>[Signature]</i>	5	PCT	WO 99/39278	A1	Ascom Hasler	08/05/99	1,10,11	
<i>[Signature]</i>	6	EP	0 927 945	A2	Amazon.Com, Inc.	07/07/99	1,10,11,20	
<i>[Signature]</i>	7	EP	0 855 687	A2	AT&T Corp.	07/29/98		

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS				
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.		
<i>[Signature]</i>	8	United States Postal Service: "Performance Criteria for Information Based Indicia and Security Architecture for Open (B) Postage Evidencing System," Information Based Indicia Program, 25 June 1999 (1999-06-25), XP002161216		

Examiner Signature	<i>[Signature]</i>	Date Considered	10-14-04
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